



PATENT Customer No. 22,852 Attorney Docket No. 07942.0031-00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
James Shyu et al.	) Group Art Unit: 2826
Application No.: 10/749,771	) Examiner: Pert, Evan T.
Filed: December 30, 2003	)
For: BURIED COLLAR TRENCH CAPACITOR FORMED BY LOCOS USING SELF STARVED ALD NITRIDE AS AN OXIDATION MASK	) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the document listed on the attached PTO 1449. This Information Disclosure Statement ("IDS") accompanies an Amendment and Remarks filed concurrently herewith in response to the Office Action of May 10, 2005. This IDS is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. This IDS is accompanied by the payment of \$180.00 as specified in 37 C.F.R. § 1.17(p).

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A copy of the listed document is attached. Applicants respectfully request that

the Examiner consider the listed document and indicate that it was considered by

making appropriate notation on the attached form.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that the listed document is

material or constitutes "prior art." If the Examiner applies the document as prior art

against any claim in the application and applicants determine that the cited document

does not constitute "prior art" under United States law, Applicants reserve the right to

present to the office the relevant facts and law regarding the appropriate status of such

document.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed document, should the document

be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

By:

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: August 10, 2005

(Qingyu Yin

Ltd. Rec. No.: L0222

## STATEMENT BY APPLICANT

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(Use as many sheets as necessary)

Complete if Known		
Application Number	10/749,771	
Filing Date	December 30, 2003	
First Named Inventor	James Shyu	
Art Unit	2826	
Examiner Name	Pert, Evan T.	
Attorney Docket Number	07942.0031	

		U.S. PATENTS A	AND PUBLISHED	U.S. PATENT APPLICAT	IONS
Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
						:

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		"A model for Al <sub>2</sub> O <sub>3</sub> ALD conformity and deposition rate from oxygen precursor reactivity," G. Prechtl et al., IEDM, 2003, pp. 245-248.	

Examiner	Date	
Signature	Considered	